

Case Docket No. UC053.001A Date: October 29, 2002

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)	:	Saxon et al.	marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: United States Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202, on  October 29, 2002  (Date)  Ginger R. Dreger, Reg. No. 33.055
Appl. No.	:	09/770,169	
Filed	:	January 26, 2001	
For	:	IMMUNOGLOBULIN CLASS SWITCH RECOMBINATION	
Examiner	:	Unknown	
Group Art Unit:		Unknown	

### TRANSMITTAL LETTER

United States Patent and Trademark Office P.O. Box 2327 Arlington, VA 22202

### Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) A copy of the Notice to Comply in two (2) pages.
- (X) A Preliminary Amendment in five (5) pages.
- (X) A Submission of Drawing Corrections for Approval By Examiner in two (2) pages.
- (X) A "red-line" corrected drawing.
- (X) A Sequence Submission Statement in two (2) pages.
- (X) A Sequence Listing in seventeen (17) pages.
- (X) A 3.5-inch Floppy Disk containing said Sequence Listing in Computer Readable Form.

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- The Commissioner is hereby authorized to charge any additional fees which may be required, or (X) credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Ginger R. Dreger Registration No. 33,055 Attorney of Record Customer No. 20,995 (415) 954-4114

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Commissioner for Patents Washington, DC 20231 www.uspto.gov

ATTORNEY DOCKET NUMBER FILING RECEIPT DATE FIRST NAMED APPLICANT APPLICATION NUMBER

09.770.169

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Andrew Saxon

UC053.001A

**CONFIRMATION NO. 8085** 

**FORMALITIES LETTER** 

\*OC000000008940841\*

KNOBBE MARTENS OLSON & BEAR LLP 2040 MAIN STREET **FOURTEENTH FLOOR IRVINE, CA 92614** 

Date Mailed: 10/10/2002

## NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Filing Date Granted

This application contains sequence disclosures that are encompassed by the definitions for nucleotide and/or amino acid sequences set forth in 37 C.F.R. § 1.821(a)(1) and (a)(2). However, this application fails to comply with the requirements of 37 C.F.R. §§ 1.821-1.825. The application must be in sequence compliance before examination on the merits.

APPLICANT IS GIVEN ONE MONTH FROM THE DATE OF THIS LETTER WITHIN WHICH TO COMPLY WITH THE SEQUENCE RULES, 37 C.F.R. §§ 1.821-1.825. Failure to comply with these requirements will result in ABANDONMENT of the application under 37 C.F.R. § 1.821(g). Extension of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 C.F.R. § 1.136. In no case may an applicant extend the period for response beyond the six-month statutory period. Direct the response to: The Office Of Initial Patent Examination.

See the attachment.

#### Applicant Must Provide as part of the response:

- An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

# A copy of this notice MUST be returned with the reply.

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